

ITW

## **ATTORNEY DOCKET NO. 03234.0018U3 APPLICATION NO. 10/786,652**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
SRINIVAS	) Confirmation No. 2861
Application No. 10/786,652	) Group Art Unit: 1745
Filed: February 25, 2004	) Examiner: Unassigned
FOR: "SULFONATED CONDUCTING POLYMER-GRAFTED CARBON MATERIAL FOR FUEL CELL APPLICATIONS"	) ) )

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 NEEDLE & ROSENBERG, P.C. Customer Number 23859

August 30, 2006

Sir:

Pursuant to the requirements of 37 C.F.R. § 1.56, submitted herewith on the accompanying Information Disclosure Statement List is a listing of documents known to Applicants and/or their attorneys. In accordance with 37 C.F.R. §1.98(a)(2), copies of any cited U.S. patent or U.S. patent application publication documents are not enclosed. Copies of any cited foreign patent document and/or any non-patent publication are enclosed.

Also enclosed are copies of documents cited by or submitted to the U.S. Patent and Trademark Office, PCT, and foreign patent offices in numerous other patent application(s). *See* Exhibit A attached hereto and its attachments.

In accordance with the provisions of M.P.E.P. § 2001.06(b) and 37 C.F.R. § 1.98(b)(3), Applicants would like to bring to the attention of the Examiner the existence of the co-pending patent applications identified below, which were filed in the United States Patent and Trademark Office:

	Application No.	<b>Date Filed</b>	<u>Inventors</u>	Attorney Docket No.
1.	10/445,074	05/23/2003	Srinivas	03234.0018U2

#### ATTORNEY DOCKET NO. 03234.0018U3 APPLICATION NO. 10/786,652

2. Application No. Date Filed Inventors A Bollepalli et al.

Attorney Docket No. 03234.0028U2

The pending applications are stored in the Image File Wrapper (IFW) system of the USPTO. Accordingly, copies of the cited specifications, including the claims and drawings thereof, are not enclosed in accordance with the waiver to 37 C.F.R. § 1.98(a)(2)(iii) dated September 21, 2004.

This Information Disclosure Statement is believed to be filed in a timely manner pursuant to 37 C.F.R. § 1.97(b)(3), in that a first Office Action on the merits of the present patent application has not yet been mailed to Applicants.

Consideration of the cited documents and making the same of record in the prosecution of the above-referenced application are respectfully requested.

No fee is believed due; however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0629.

Respectfully submitted,

NEEDLE & ROSENBERG, P.C.

Ayest 30, 2006

Mitchell A. Katz

Registration No. 33,919

NEEDLE & ROSENBERG, P.C. Customer Number 23859 (678) 420-9300 Phone (678) 420-9301 Fax

CERTIFICATE OF	MAILING	UNDER 37	<u>C.F.R. § 1.8</u>

I hereby certify that this correspondence, including any items indicated as attached or included, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Mitchell A. Katz

Date



#### ATTORNEY DOCKET NO. 03234.0018U3 APPLICATION NO. 10/786,652

### **EXHIBIT A**

TABLE 1. U.S. Office Actions

TAB NO.	APPLICATION NO.	DOCUMENT	DATE	N&R REFERENCE NO.
1	10/229,933	Notice of Allowance and Fee(s) Due	08/05/2005	03234.0002U2
2	10/445,273	Office Action	03/20/2006	03234.0016U2
3	10/445,090	Office Action	04/04/2006	03234.0017U2
4	10/445,074	Office Action	10/18/2005	03234.0018U2
5	10/443,074	Response to Office Action	01/17/2006	03234.001802
6	10/654,234	Office Action	11/17/2005	03234.0021U1
7	10/034,234	Response to Office Action	04/17/2006	03234.002101

TABLE 2. PCT and Foreign Office Actions

TAB NO.	APPLICATION NO:	DOCUMENT	DATE	N&R REFERENCE NO.
8	PCT/US03/16321	International Search Report	08/26/2003	
9	(counterpart to	Written Opinion	04/13/2004	03234.0002P1
10	US 10/229,933)	International Preliminary Examining Report	09/01/2004	
11	PCT/US03/16654	International Search Report	08/20/2003	
12	(counterpart to	Written Opinion	04/27/2004	03234.0016P1
13	US 10/445,273)	International Preliminary Examining Report	08/26/2004	
14	Eurasia 200401548/26	Office Action	04/06/2005	03234.0016EA1
15	(counterpart to US 10/445,273)	Response to Office Action	12/19/2005	03234.0010EA1

#### ATTORNEY DOCKET NO. 03234.0018U3 APPLICATION NO. 10/786,652

### **EXHIBIT A**

TAB NO.	APPLICATION NO:	DOCUMENT	DATE	N&R REFERENCE NO.
16	PCT/US03/16319	International Search Report	03/29/2004	
17	(counterpart to	Written Opinion	11/12/2004	03234.0017P1
18	US 10/445,090)	International Preliminary Examining Report	01/04/2005	
19	Eurasia 200401552/27 (counterpart to US 10/445,090)	Office Action	01/30/2006	03234.0017EA1
20	PCT/US03/16320	International Search Report	03/26/2004	
21	(counterpart to	Written Opinion	11/12/2004	03234.0018P1
22	US 10/445,074)	International Preliminary Examining Report	12/09/2004	
23	Eurasia	Office Action	09/01/2005	
24	200401549 (counterpart to	Response to Office Action	01/26/2006	03234.0018EA1
25	US 10/445,074)	Office Action	03/30/2006	
26	EP 03729097.0	Office Action	01/18/2006	03234.0018EP1
27	(counterpart to US 10/445,074)	Response to Office Action	05/26/2006	03234.0018E11
28	PCT/US04/015567	International Search Report	10/27/2004	
29	(counterpart to	Written Opinion	10/27/2004	03234.0021P1
30	US 10/654,234	International Preliminary Report on Patentability	12/08/2005	
31	PCT/US05/032652 (counterpart to	International Search Report	02/13/2006	03234.0028P1
32	US 11/093,858)	Written Opinion	02/13/2006	03234.002611

# INFORMATION DISCLOSURE STATEMENT LIST

Complete if Known
Application Number 10/786,652
Filing Date February 25, 2004
First Named Inventor SRINIVAS
Group Art Unit 1745
Examiner Name Unassigned

(Use as many sheets as necessary)

Examiner s Initials	Ćite No.	Document No.	Date	Name	Class	Subclass	Filing Date (if appropriate)
	L1	US 2006-0068987-A1	03/30/2006	Bollepalli et al.			
		·					

		FOREI	GN PATENT	DOCUMENTS	
Examiner's Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Date	Name	Translation Yes No
	L2	WO 03/100884 A2	12/04/2003	Columbian Chemicals Co.	
	L3	WO 2004/107359 A1	12/09/2004	Columbian Chemicals Co.	
	L4	WO 2006/036544 A2	04/06/2006	Columbian Chemicals Co.	

Examiner's Cite nitials No.	Non-Patent Citations (include Author, Title, Publisher, Relevant Pages, Date and Place of Publica			
-				

Examiner Signature:		Date	Considered

# INFORMATION DISCLOSURE ... STATEMENT LIST

SEP 0 1 2006

(Use as many sheets as necessary)

Com	plete if Known	
Application Number	10/786,652	
Filing Date	February 25, 2004	
First Named Inventor	SRINIVAS	
Group Art Unit	1745	
Examiner Name	Unassigned	

Examiner's	Cite	Document No.	Date	Name	Class	Subclass	Filing Date		
Initials	No.	US 6,770,393 B2	08/03/2004	Akita et al.	429	33	(ii appropriate)		
	K2		07/27/2004	Akita et al.	429	42			
		US 6,767,664 B2	06/04/2002	Yu et al.	428	403			
	K3	US 6,399,202 B1		······································	429	41			
	K4	US 6,280,871 B1	08/28/2001	Tosco et al.		472			
	K5	US 5,851,280	12/22/1998	Belmont et al.	106		<u> </u>		
	K6	US 5,837,045	11/17/1998	Johnson et al.	106	31.85			
	K7	US 5,803,959	09/08/1998	Johnson et al.	106	31.75			
	K8	US 5,707,432	01/13/1998	Adams et al.	106	31.6			
,	K9	US 5,683,829	11/04/1997	Sarangapani	429	42			
	K10	US 5,672,198	09/30/1997	Belmont	106	20			
	K11	US 5,630,868	05/20/1997	Belmont et al.	106	31.75			
	K12	US 5,571,311	11/05/1996	Belmont et al.	106	20 R			
	K13	US 5,554,739	09/10/1996	Belmont	534	885			
	K14	US 4,014,844	03/29/1977	Vidal et al.	260	31.2 R			
	K15	US 2001/0009733 A1	07/26/2001	Campbell et al.	29	44			
		FORE	IGN PATENT	DOCUMENTS					
Examiner's Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Date	Nan	ne		Translation Yes No		
	K16	WO 02/084767 A2	10/24/2002	Cabot Corporation					
		NON PATE	NT LITERAT	URE DOCUMENTS					
Examiner's	Cite	Non-Patent Citations	(include Author, Tit	le. Publisher, Relevant Pages.	Date and Pl	ace of Publica	tion)		
nitials	No.	Non-Patent Citations (include Author, Title, Publisher, Relevant Pages, Date and Place of Publication)  TSUBOKAWA et al., "Grafting of Polyesters onto Carbon Black VI. Copolymerization of Alkylene Carbonate							
	K17	with Cyclic Acide Anhydride of Polymer Science: Polymer	e Initiated by Alka	i Metal Carboxylate Group	os on Carbo	n Black Surf	face," Journa		
	K18	TSUBOKAWA et al., "Graft Black with a Higher Grafting COOK Groups on Carbon B	g Ratio by the Copo lack as the Initiator	olymerization of Epoxide v ," <i>Polymer Journal</i> , 16(4):	vith Cyclic . :333-340 (1	Acid Anhydi 984)	rides Using		
	K19	TSUBOKAWA et al., "Graft Initiated by Quaternary Am Polymer Science, 28:2381-23	monium Carboxyla	nto Carbon Black. III. Po te Groups on the Surface of	lymerization of Carbon B	n of ß-Propic lack," <i>Journ</i>	olactone al of Applied		
•		TSUBOKAWA et al., "Grafting of Polyesters onto Carbon Black. II. Effect of Temperature Solvent on the Polymerization of \( \mathcal{B}\)-Propiolactone initiated by COOK Groups on the Surface of Carbon Black," Polymer							
·	K20	Bulletin, 7:589-596 (1982)							
· ·	K20		ting Polyesters onto Group on the Surf 3304 (1982)	ace of Carbon Black," Jou	rnal of Poly	mer Science	: Polymer		

Examiner Signature:

Date Considered

# INFORMATION DISCLOSURE O 1 P E STATEMENT LIST

SEP () 1 2006 8

(Use as many sheets as necessary)

Com	plete if Known
Application Number	10/786,652
Filing Date	February 25, 2004
First Named Inventor	SRINIVAS
Group Art Unit	1745
Examiner Name	Unassigned

Examiner's Initials	Cite No.	Document No.	Date .	Name	Class	Subclass	Filing Date
	J1	US 4,610,938	09/09/1986	Appleby	429	42	
	J2	US 2006/0099485 A1	05/11/2006	Yamaguchi et al.	429	42	
				+		- AT TAN	
	(6)						

		FOREI	GN PATENT	DOCUMENTS		
Examiner's Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Date	Name	Transl Yes	lation No
	J3	EP 1 536 500 A1	06/01/2005	Yamaguchi		
	J4	JP 2003-037435	02/14/2003	Yamaguchi: Priority Document # 2 for WO 2004/017446 and EP 1 536 500	Х	
	J5	JP 2002-237229	08/16/2002	Yamaguchi: Priority Document # 1 for WO 2004/017446 and EP 1 536 500	х	
	J6	JP 2001-283865	10/12/2001	Toray Industries Inc. (abstract)		
	J7	WO 2004/017446 A1	02/26/2004	Yamaguchi		
					<u> </u>	

	Lau	NON PATENT LITERATURE DOCUMENTS
Examiner's Cite Initials No.		Non-Patent Citations (include Author, Title, Publisher, Relevant Pages, Date and Place of Publication)
	J8	MIZUHATA et al., "Morphological Control of PEMFC Electrode by Graft Polymerization of Polymer Electrolyte onto Platinum-Supported Carbon Black," Journal of Power Sources, 138:25-30 (2004)

Date Considered

Examiner Signature:



Com	Complete if Known					
Application Number	10/786,652					
Filing Date	February 25, 2004					
First Named Inventor	SRINIVAS					
Group Art Unit	1745					
Examiner Name	Unassigned					

U.S. PATENT DOCUMENTS							
Examiner's Initials	Cite No.	Document No.	Date	Name	Class	Subclass	Filing Date (if appropriate)
	I1	US 2004/0186201 A1	09/23/2004	Stoffer et al.	523	215	
	I2	US 2004/0072683 A1	04/15/2004	Kodas et al.	502	224	
		·					

FOREIGN PATENT DOCUMENTS						
Examiner's Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Date	Name	Translation Yes No	
	I3	EP 0 360 399 A2	03/28/1990 .	Columbian Chemicals Company		
<del>-</del>						
					·	

	Louis T	NON PATENT LITERATURE DOCUMENTS
Examiner's Cite Initials No.		Non-Patent Citations (include Author, Title, Publisher, Relevant Pages, Date and Place of Publication)
		·
	<u> </u>	

Examiner Signature:	Date Considered

SEP 0 1 2006 %

# STATEMENT LIST

(Use as many sheets as necessary)

Comp	olete if Known	
Application Number	10/786,652	
Filing Date	February 25, 2004	
First Named Inventor	SRINIVAS	
Group Art Unit	1745	
Examiner Name	Unassigned	

Examiner's	Cite			N	Class	Cubalasa	Filing Date
Initials	No.	Document No.	Date	Name	Class	Subclass	(if appropriate)
	H1	US 5,759,944	06/02/1998	Buchanan et al.			
	<del> </del>			<del></del>			

FOREIGN PATENT DOCUMENTS						
Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Date	Name	Translation Yes No		
		·				
	+					
			<u> </u>			
	Cite					

NON PATENT LITERATURE DOCUMENTS		
Cite No.	Non-Patent Citations (include Author, Title, Publisher, Relevant Pages, Date and Place of Publication)	
H2	Raven® 3600 Ultra publication, Columbian Chemicals Company (February 2004)	
Н3	Raven Blacks publication, Columbian Chemicals Company (2004)	
0		
	Cite No. H2	

Examiner Signature:	Date Considered